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| APPLICATION NO. | FILING DATE                 | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|-----------------|-----------------------------|----------------------|-------------------------|------------------|--|
| 10/623,351      | 07/18/2003                  | Bernard O. Li        | H0004337 (1139.1132101) | 5032             |  |
| 128             | 7590 11/19/2003             |                      | EXAMINER                |                  |  |
| HONEYWEI        | LL INTERNATIONA<br>BIA ROAD | GHYKA, ALEXANDER G   |                         |                  |  |
| P O BOX 224:    |                             | ART UNIT             | PAPER NUMBER            |                  |  |
| MORRISTOW       | /N, NJ 07962-2245           | 2812                 |                         |                  |  |

DATE MAILED: 11/19/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

| 4 - 4  |   |              | Application No.           | A  | pplicant(s)                            |         |  |  |  |
|--|---|--------------|---------------------------|----|--|---------|--|--|--|
| Office Action Summary  |   |              | 10/623,351                | LI | , BERNARD O.                           |         |  |  |  |
|  |   |              | Examin r                  | A  | rt Unit                                | -       |  |  |  |
|  |   |              | Alexander G. Ghyka        | ii | 312                                    |         |  |  |  |
|  | The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |              |                           |    |  |         |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely,  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  |   |              |                           |    |  |         |  |  |  |
|  | Responsive to communication(s) file   | ed on        |                           |    |  |         |  |  |  |
|  |   |              | -<br>action is non-final. |    |  |         |  |  |  |
| <u> </u>   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |              |                           |    |  |         |  |  |  |
| Disposition of Claims  |   |              |                           |    |  |         |  |  |  |
| 4) 🖂   | Claim(s) 1-20 is/are pending in the a   | application. |                           |    |  |         |  |  |  |
|  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |              |                           |    |  |         |  |  |  |
| 5)[  | 5) Claim(s) is/are allowed.   |              |                           |    |  |         |  |  |  |
| 6)   | Claim(s) is/are rejected.   |              |                           |    | ALEXANDE                               | B GHVV  |  |  |  |
| 7)[  | Claim(s) is/are objected to.  |              |                           |    | PRIMARY E                              | XAMINER |  |  |  |
| 8)[🛛   | Claim(s) 1-20 are subject to restricti  | on and/or e  | lection requirement.      |    |  |         |  |  |  |
| Application Papers   |   |              |                           |    |  | W//     |  |  |  |
|  | The specification is objected to by th  |              |                           |    | Me                                     | 7/20    |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.  |   |              |                           |    |  |         |  |  |  |
|  | Applicant may not request that any obje   |              | *                         | -  | • •                                    |         |  |  |  |
|  | Replacement drawing sheet(s) including  |              | ·                         |    |  |         |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |              |                           |    |  |         |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120  |   |              |                           |    |  |         |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> <li>13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet.</li> <li>37 CFR 1.78.</li> <li>a) The translation of the foreign language provisional application has been received.</li> <li>14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.</li> </ul> |   |              |                           |    |  |         |  |  |  |
| Attachment(s)  |   |              |                           |    |  |         |  |  |  |
| 2) Notice  | e of References Cited (PTO-892)<br>e of Draftsperson's Patent Drawing Review (P<br>nation Disclosure Statement(s) (PTO-1449) P  |              | 5) 🔲 Notice o             |    | O-413) Paper No(<br>t Application (PTC |         |  |  |  |

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**DETAILED ACTION** 

Restriction Requirement

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:

I. Claims 1-4, drawn to an apparatus, classified in class 118, subclass 1+.

II. Claims 5-20, drawn to a method, classified in class 438, subclass 780.

2. The inventions are distinct, each from the other because:

Inventions II and I are related as process and apparatus for its practice. The inventions

are distinct if it can be shown that either: (1) the process as claimed can be practiced by another

materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice

another and materially different process. (MPEP § 806.05(e)). In this case, the method can be

used with a materially different apparatus, such as one which does not have the circumferential

groove as required by the method claims.

3. A phone call was made to Mark R. Schroeder on November 17, 2003 to request an oral

election to the above restriction requirement, but did not result in an election being made.

4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the

inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently

named inventors is no longer an inventor of at least one claim remaining in the application. Any

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amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(I).

## Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alex Ghyka whose telephone number is (703) 305-3407. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday from 8:30 AM to 7:00 PM.

The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

ΛGG

November 17, 2003

ALEXANDER GHYKA PRIMARY EXAMINER